

Retrieve By: Origin

As Of Date	Entry Date	As of Post Date	Post Date	Type	Source	Origin
13-12-2013	13-12-2013	13-12-2013	13-12-2013	User Adjustment	ORG	User
3 DC - PD 11-12-2013				Reverse ID	Status	
				(None)	Posted	
6 DC - PD 11-12-2013				Reversal Type	Reverse Status	
				Never	Not Applicable	
al ID				Ancestor ID	Reverse Date	
					13-12-2013	

ees | [Audit Trail Information](#) | [Authorization](#)

Country	Cur	Amount	Account ID	Account Name	Layer	Invt Type	Co
(None)	DKK	2,555,000.0000 Cr	CC:AMERIC-INVGR	American Investment Group of NY LP Pension Plan	Cash	Unclassified Inventory Type	



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Bluegrass Retirement Group Trust – 910 Aiken Road, Versailles, Kentucky, 40383, United States of America, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	442,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 2,258,620.00
WHT Suffered:	DKK 835,380.00
WHT %:	27%
Agent/Cust Bank:	J.P.Morgan Chase Bank N.A. London
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	41018467

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Bluegrass Retirement Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES

Christina MacKinnon

Verrona Browne

Head of Securities Operations

Chief Operating Officer



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Cambridge Way LLC 401K Profit Sharing Plan – Suite 309, 35 Cambridge Way, Weehawken, New Jersey, 07086, United States of America, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	2,000,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 10,220,000.00
WHT Suffered:	DKK 3,780,000.00
WHT %:	27%

Agent/Cust Bank:	J.P.Morgan Chase Bank N.A. London
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	41018467

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Cambridge Way LLC 401K Profit Sharing Plan. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Vernona Browne

Head of Securities Operations

Chief Operating Officer



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Casting Pensions Group Trust – 910 Aiken Road, Versailles, Kentucky, 40383, United States of America, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	350,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 1,788,500.00
WHT Suffered:	DKK 661,500.00
WHT %:	27%
Agent/Cust Bank:	J.P.Morgan Chase Bank N.A. London
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	41018467

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Casting Pensions Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES

Christina MacKinnon

Verroia Browne

Head of Securities Operations

Chief Operating Officer



ED & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Central Technologies Pensions Group Trust – 910 Aiken Road, Versailles, Kentucky, 40383, United States of America, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	485,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 2,478,350.00
WHT Suffered:	DKK 916,650.00
WHT %:	27%

Agent/Cust Bank:	J.P.Morgan Chase Bank N.A. London
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	41018467

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Central Technologies Pensions Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Veronica Browne

Head of Securities Operations

Chief Operating Officer



ED & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm that the amount was paid into the account of Hamlyn LP which held the below security over dividend date for Del Mar Asset Management Saving And Retirement Plan, 711 Fifth Avenue, New York, NY 10022, United States, the beneficial owner of the security.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	500,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 2,555,000.00
WHT Suffered:	DKK 945,000.00
WHT %:	27%

Agent/Cust Bank:	J.P.Morgan Chase Bank N.A. London
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	41018467

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were received by Hamlyn LP where the beneficial owner is Del Mar Asset Management Saving And Retirement Plan, net of withholding tax. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES

Christina MacKinnon

Verrona Browne

Head of Securities Operations

Chief Operating Officer



ED & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm that the amount was paid into the account of CUBIX Managers Limited Partnership which held the below security over dividend date for KK Law Firm Retirement Plan Trust – 6572 Berrywood Lane, Downers Grove, IL 60516 – United States of America the beneficial owner of the security.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	145,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 740,950.00
WHT Suffered:	DKK 274,050.00
WHT %:	27%
Agent/Cust Bank:	BNP Paribas Securities Services Paris
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	778524G

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were received by KK Law Firm Retirement Plan Trust – 6572 Berrywood Lane, Downers Grove, IL 60516 – United States of America net of withholding tax. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Verrona Browne

Head of Securities Operations

Chief Operating Officer



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, SV Holdings LLC Retirement Plan – 910 Aiken Road, Versailles, Kentucky, 40383, United States of America, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	537,116 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 2,744,662.76 -
WHT Suffered:	DKK 1,015,149.24
WHT %:	27%
Agent/Cust Bank:	BNP Paribas Securities Services Paris
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	778524G

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to SV Holdings LLC Retirement Plan. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Verrona Browne

Head of Securities Operations

Chief Operating Officer



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Tew Enterprises LLC Retirement Plan – 910 Aiken Road, Versailles, Kentucky, 40383, United States of America, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	223,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 1,139,530.00
WHT Suffered:	DKK 421,470.00
WHT %:	27%

Agent/Cust Bank:	J.P.Morgan Chase Bank N.A. London
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	41018467

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Tew Enterprises LLC Retirement Plan. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Verroia Browne

Head of Securities Operations

Chief Operating Officer

	J	K	M	O	X	Y	Z	AA	AC	AD	AH	AI	AT	AY	BG	BH
Equ	ExtRefID	TradeDate	SettlementDate	TradeAccount	TradeCurrency	TicketState	TradeTransaction	Quantity	ValueAmount	Principal	TradeProceeds	FinalPrice	AssetAlias4	Counterparty	Location1	Location2
	EQ21753-88004	2013-12-06 00:00:00.000	2013-12-11 00:00:00.000	1020035LINK00LINK0	DKK	REP	SEL	250000.0000	250000.0000	87500000.0000	87500000.0000	350.0000000000	COLOB DC	1020010AMERICBLOAN	DEN-27%	DEN-27%
	EQ21753-88004	2013-12-06 00:00:00.000	2013-12-11 00:00:00.000	1020010AMERICBLOAN	DKK	REP	BUY	250000.0000	250000.0000	87500000.0000	87500000.0000	350.0000000000	COLOB DC	1020035LINK00LINK0	DEN-27%	DEN-27%
	EQ21740-87973	2013-12-06 00:00:00.000	2013-12-11 00:00:00.000	1020010AMERICBLOAN	DKK	REP	BUY	250000.0000	250000.0000	87500000.0000	87500000.0000	350.0000000000	COLOB DC	1020035LINK00LINK0	DEN-27%	DEN-27%
	EQ21740-87973	2013-12-06 00:00:00.000	2013-12-11 00:00:00.000	1020035LINK00LINK0	DKK	REP	SEL	250000.0000	250000.0000	87500000.0000	87500000.0000	350.0000000000	COLOB DC	1020010AMERICBLOAN	DEN-27%	DEN-27%

From: CONOR COOLING <CCOOLING@Bloomberg.net>
Sent: Friday, December 6, 2013 11:32 AM
Subject: Bloomberg: Re:COLOB DC

Greeting: Equity Finance Desk +44 207 663 5363 Mobile +44 7973 382892

sure thanks

----- Original Message -----

From: SARA MINA (E D & F MAN CAPITAL)
At: Dec 6 2013 11:31:55

2*250K please

----- Original Message -----

From: CONOR COOLING (LINK ASSET & SECURIT)
To: SARA MINA (E D & F MAN CAPITAL)
At: Dec 6 2013 11:31:01

I can buy those thanks..will send conf...u need any splits?

----- Original Message -----

From: SARA MINA (E D & F MAN CAPITAL)
At: Dec 6 2013 11:28:04

Too many orders - sorry Conor, prop on this one actually Hi Conor acting on behalf of a client

----- Original Message -----

From: CONOR COOLING (LINK ASSET & SECURIT)
To: SARA MINA (E D & F MAN CAPITAL)
At: Dec 6 2013 11:18:35

Sara...can you just confirm if you are acting prop or for a client?

----- Original Message -----

From: SARA MINA (E D & F MAN CAPITAL)
At: Dec 6 2013 11:13:56

Hi Conor, looking to SELL 500k shares of COLOB DC @ DKK 350.00 t+3 vs my BNP account number 1, can you help?

Sent By :

B CONOR COOLING, CCOOLING@Bloomberg.net, LINK ASSET & SECURIT

Recipients :

B SARA MINA, smina@edfmancapital.com, SMINA3@Bloomberg.net, E D & F MAN CAPITAL

B SMINA, SMINA@EDFMANCAPITAL.COM, Unknown Company

Processed by **Global Relay Message Converter for Bloomberg** | V2.0.0 | File (BMAIL) Message 1808 | 2013-12-07
01:24:20 AM (EST)



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm that the amount was paid into the account of CUBIX Managers Limited Partnership which held the below security over dividend date for 5T Advisory Services Retirement Plan Trust, 1 Harborview West, Lawrence, NY 11559, United States of America the beneficial owner of the security.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	167,500 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 855,925.00
WHT Suffered:	DKK 316,575.00
WHT %:	27%

Agent/Cust Bank:	BNP Paribas Securities Services Paris
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	778524G

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were received by 5T Advisory Services Retirement Plan Trust, 1 Harborview West, Lawrence, NY 11559, United States of America net of withholding tax. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Head of Securities Operations

Verrona Browne

Chief Operating Officer



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm that the amount was paid into the account of CUBIX Managers Limited Partnership which held the below security over dividend date for KK Law Firm Retirement Plan Trust – 6572 Berrywood Lane, Downers Grove, IL 60516 – United States of America the beneficial owner of the security.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	145,000 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 740,950.00
WHT Suffered:	DKK 274,050.00
WHT %:	27%

Agent/Cust Bank:	BNP Paribas Securities Services Paris
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	778524G

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were received by KK Law Firm Retirement Plan Trust – 6572 Berrywood Lane, Downers Grove, IL 60516 – United States of America net of withholding tax. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Head of Securities Operations

Verrona Browne

Chief Operating Officer



E D & F MAN CAPITAL MARKETS LIMITED

Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm that the amount was paid into the account of CUBIX Managers Limited Partnership which held the below security over dividend date for Uplands Consulting Retirement Plan Trust - 1301 Avenue of the Americas, 33rd Floor, New York, NY10019, United States of America the beneficial owner of the security.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	06 th December 2013
Record Date:	10 th December 2013
Pay Date:	11 th December 2013
Quantity:	187,500 Shares
Gross Div Rate:	DKK 7.00
Amount Received:	DKK 958,125.00
WHT Suffered:	DKK 354,375.00
WHT %:	27%

Agent/Cust Bank:	BNP Paribas Securities Services Paris
Paid into Account:	ED&F Man Capital Markets Ltd
Account Number:	778524G

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were received by Uplands Consulting Retirement Plan Trust- 1301 Avenue of the Americas, 33rd Floor, New York, NY10019, United States of America. net of withholding tax. If you have any further concerns or issues please do not hesitate to contact us.

AUTHORISED SIGNATORIES.

Christina MacKinnon

Head of Securities Operations

Verrona Browne

Chief Operating Officer

Coloplast A/S

COLOB DC

ISIN: DK0060448595

SEDOL: B8FMRX8

COUNTRY: DK

EX DATE: 06/12/2013

REC DATE: 10/12/2013

PAY DATE: 11/12/2013

CCY: DKK

GROSS RATE: 7.00

FEE: 0.00

AGENT POSITIONS

JOURNAL NUMBER

223828

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	WGHTNG %	PAYABLE	RECEIVABLE	CLAIM?	NOTES
SVHOLD-SVHOLD	SV Holdings LLC Retirement Plan	73%	537,116		100.00%	2,744,662.76 ✓			
CUBXBE-00000	Cubix Managers Limited Partnerships	73%	500,000 ✓		100.00%	2,555,000.00 ✓			
BS23F	BNP Paribas Depot	73%		-1,037,116	100.00%		-5,299,662.76		
ACORN0-CAPST	ACORN CAPITAL STRATEGIES LLC EMPLOYEE PENSION PROFIT	73%	500,000 ✓		100.00%	2,555,000.00 ✓			
ACORN0-CAPST	ACORN CAPITAL STRATEGIES LLC EMPLOYEE PENSION PROFIT	73%	500,000 ✓		100.00%	2,555,000.00 ✓			
ACORN0-CAPST	ACORN CAPITAL STRATEGIES LLC EMPLOYEE PENSION PROFIT	73%	300,000 ✓		100.00%	1,533,000.00 ✓			
ACORN0-CAPST	ACORN CAPITAL STRATEGIES LLC EMPLOYEE PENSION PROFIT	73%	500,000 ✓		100.00%	2,555,000.00 ✓			
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-500,000	100.00%		-2,555,000.00	YES	
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-500,000	100.00%		-2,555,000.00	YES	
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-300,000	100.00%		-1,533,000.00	YES	
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-500,000	100.00%		-2,555,000.00	YES	
AMERIC-INVGR	AMERICAN INVESTMENT GROUP OF NY LP PENSION PLAN	73%	500,000		100.00%	2,555,000.00 ✓			
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-500,000	100.00%		-2,555,000.00	YES	
BLUEGR-BLUEG	Bluegrass Retirement Group Trust	73%	442,000		100.00%	2,258,620.00 ✓			
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-442,000	100.00%		-2,258,620.00	YES	
CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	250,000 ✓		100.00%	1,277,500.00 ✓			
CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	250,000 ✓		100.00%	1,277,500.00 ✓			
CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	500,000 ✓		100.00%	2,555,000.00 ✓			
CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	500,000 ✓		100.00%	2,555,000.00 ✓			
CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	130,000 ✓		100.00%	664,300.00 ✓			
CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	170,000 ✓		100.00%	868,700.00 ✓			
CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	200,000 ✓		100.00%	1,022,000.00 ✓			
VOLCAF-ICBCO	Volkale Limited - ICBC	73%		-250,000	100.00%		-1,277,500.00	YES	
VOLCAF-ICBCO	Volkale Limited - ICBC	73%		-250,000	100.00%		-1,277,500.00	YES	
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-500,000	100.00%		-2,555,000.00	YES	
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-500,000	100.00%		-2,555,000.00	YES	
IDBEDF-IDBED	Equity Finance Vs IDB (DB_BNP)	73%		-130,000	100.00%		-664,300.00	YES	
IDBEDF-IDBED	Equity Finance Vs IDB (DB_BNP)	73%		-170,000	100.00%		-868,700.00	YES	
IDBEDF-IDBED	Equity Finance Vs IDB (DB_BNP)	73%		-200,000	100.00%		-1,022,000.00	YES	
CASTPE-GRPTR	Casting Pensions Group Trust	73%	350,000 ✓		100.00%	1,788,500.00 ✓			
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-350,000	100.00%		-1,788,500.00	YES	
CTECH0-00000	Central Technologies Pensions Group Trust	73%	485,000 ✓		100.00%	2,478,350.00 ✓			
RISKPR-ICBCO	Equity Finance Vs IDB (ICBC)	73%		-485,000	100.00%		-2,478,350.00	YES	

C:EDFDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%	-250,000	100.00%	-1,277,500.00	
C:EDFDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%	-250,000	100.00%	-1,277,500.00	
C:EDFDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%	-250,000	100.00%	-1,277,500.00	
C:EDFDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%	-250,000	100.00%	-1,277,500.00	
B:VOLCAF-ICBC	Volcafe Limited - ICBC	73%	250,000	100.00%	1,277,500.00	YES
B:VOLCAF-ICBC	Volcafe Limited - ICBC	73%	250,000	100.00%	1,277,500.00	YES
B:VOLCAF-ICBC	Volcafe Limited - ICBC	73%	250,000	100.00%	1,277,500.00	YES
B:VOLCAF-ICBC	Volcafe Limited - ICBC	73%	250,000	100.00%	1,277,500.00	YES
C:HAMLIN-LLP00	HAMLIN LP	73%	250,000 ✓	100.00%	1,277,500.00 ✓	
C:HAMLIN-LLP00	HAMLIN LP	73%	250,000 ✓	100.00%	1,277,500.00 ✓	
B:VOLCAF-ICBC	Volcafe Limited - ICBC	73%	-250,000	100.00%	-1,277,500.00	YES
B:VOLCAF-ICBC	Volcafe Limited - ICBC	73%	-250,000	100.00%	-1,277,500.00	YES
C:TEWLLC-TEW00	Tew Enterprises LLC Retirement Plan	73%	223,000 ✓	100.00%	1,139,530.00 ✓	
B:RISEPR-ICBC	Equity Finance Vs IDB (ICBC)	73%	-223,000	100.00%	-1,139,530.00	YES
TOTALS			8,337,116	-8,337,116	TOTALS 42,602,562.76	-42,602,662.76

223827 - 01

SECURITIES LENDING POSITIONS

JOURNAL NUMBER

223830

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLING	SHORT HLING	WGHTNG %	PAYABLE	RECEIVABLE	CLAIM?	NET STK LOAN AMNT	PYMNT V/D	PYMNT JRL NO
R:MAPLE0-UKLTD	Maple Securities UK Ltd	85%	287,116		100.00%	1,708,340.20		YES		13/12	223838
C:BLUEGR-BLUEG	Bluegrass Retirement Group Trust	100%	549,100		100.00%	3,843,700.00					
C:CASTPE-GRPTR	Casting Pensions Group Trust	100%	312,000		100.00%	2,184,000.00					
C:CTECH0-00000	Central Technologies Pensions Group Trust	100%	27,200		100.00%	190,400.00					
C:POLLEN-FUNDO	POLLEN FUND LIMITED	100%	9,166,000		100.00%	64,162,000.00					
C:SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	100%	62,184		100.00%	435,288.00					
C:TEWLLC-TEW00	Tew Enterprises LLC Retirement Plan	100%	588,500		100.00%	4,119,500.00					
C:AUTOPA-AUTOP	Autoparts Pensions Group Trust	100%		-2,773,512	100.00%		-19,414,584.00				
C:AUTOPA-AUTOP	Autoparts Pensions Group Trust	85%		-74,388	100.00%		-442,608.60				
C:BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	100%		-2,592,663	100.00%		-18,148,641.00				
C:BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	85%		-69,537	100.00%		-413,745.15				
C:INDUST-PENGP	Industrial Pension Group Trust	100%		-2,730,564	100.00%		-19,113,948.00				
C:INDUST-PENGP	Industrial Pension Group Trust	85%		-73,236	100.00%		-435,754.20				
C:TEWLPO-TEWLP	Tew LP Retirement Plan	100%		-2,608,245	100.00%		-18,257,715.00				
C:TEWLPO-TEWLP	Tew LP Retirement Plan	85%		-69,565	100.00%		-416,232.25				
TOTALS			10,992,100	-10,992,100	TOTALS	76,643,228.20	-76,643,228.20				

PREPARED:

DESK SIGN OFF:

OPS MANAGER SIGN OFF:

AGENT CASH RECEIVED

CLAIMS PAID

JOURNAL NUMBERS UPDATED

DATE: 13/12/13

FILE CLOSED

CLOSER: